

APPENDIX B

TABLE OF SINUSOIDAL-INPUT DESCRIBING FUNCTIONS (DFs)

The DF is given by (cf. Sec. 2.2)

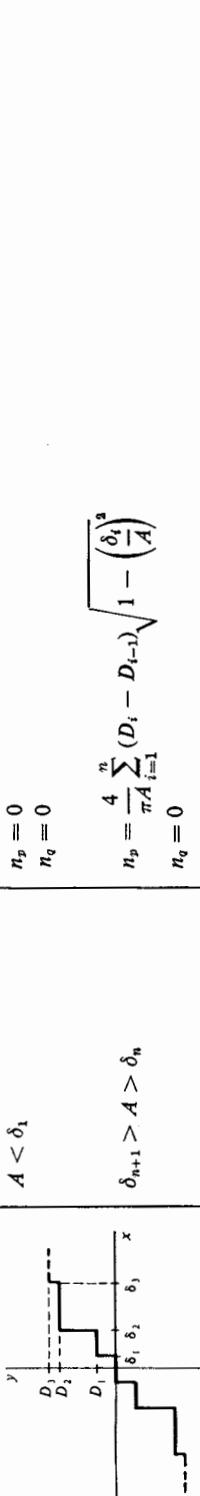
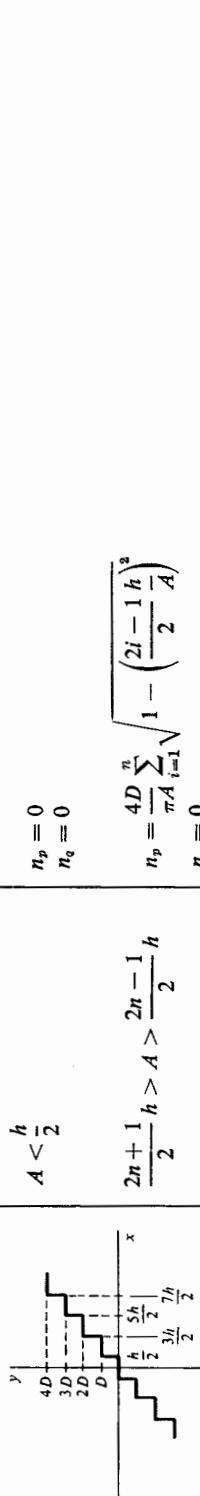
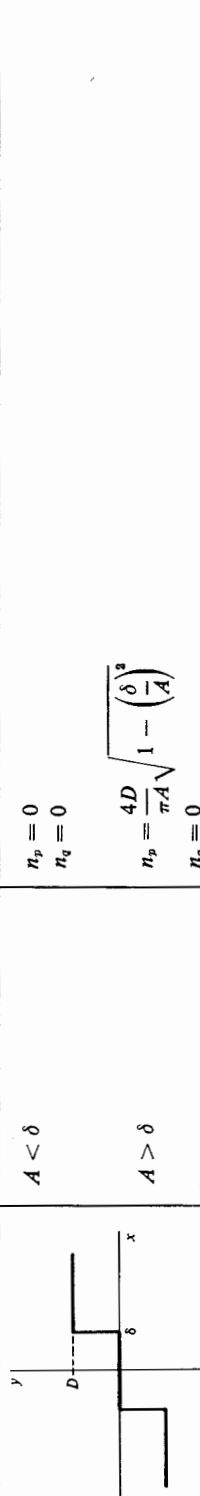
$$N(A, \omega) = n_p(A, \omega) + jn_q(A, \omega) = \frac{j}{\pi A} \int_0^{2\pi} y(A \sin \psi, A\omega \cos \psi) e^{-j\psi} d\psi$$

In this table we employ the "saturation function" (cf. Sec. 2.3) denoted by

$$\begin{aligned} f(\gamma) &= -1 & \gamma < -1 \\ &= \frac{2}{\pi} (\sin^{-1} \gamma + \gamma \sqrt{1 - \gamma^2}) & |\gamma| \leq 1 \\ &= 1 & \gamma > 1 \end{aligned}$$

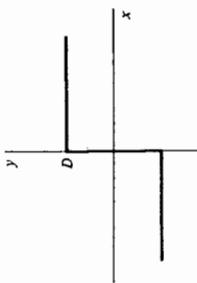
This function is plotted in Fig. C.1.

TABLE OF SINUSOIDAL-INPUT DESCRIBING FUNCTIONS (DFs) (Continued)

Nonlinearity	Comments	$n_p(A, \omega)$ and $n_q(A, \omega)$
	$A < \delta_1$ $\delta_{n+1} > A > \delta_n$	$n_p = 0$ $n_q = 0$ $n_p = \frac{4}{\pi A} \sum_{i=1}^n (D_i - D_{i-1}) \sqrt{1 - \left(\frac{\delta_i}{A}\right)^2}$ $n_q = 0$
1. General odd quantizer		
	$A < \frac{h}{2}$ $\frac{2n+1}{2} h > A > \frac{2n-1}{2} h$	$n_p = 0$ $n_q = 0$ $n_p = \frac{4D}{\pi A} \sum_{i=1}^n \sqrt{1 - \left(\frac{2i-1}{2} \frac{h}{A}\right)^2}$ $n_q = 0$
2. Uniform quantizer or granularity	See Fig. B.1 and Sec. 2.3	
	$A < \delta$ $A > \delta$	$n_p = 0$ $n_q = 0$ $n_p = \frac{4D}{\pi A} \sqrt{1 - \left(\frac{\delta}{A}\right)^2}$ $n_q = 0$
3. Relay with dead zone	See Fig. B.1	

$$n_p = \frac{4D}{\pi A}$$

$$n_q = 0$$

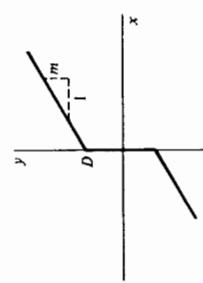


4. Ideal relay

See Sec. 2.3

$$n_p = \frac{4D}{\pi A} + m$$

$$n_q = 0$$



5. Preload

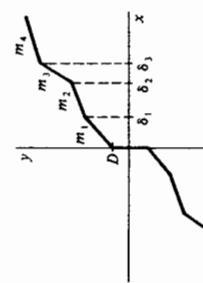
See Sec. 2.3

$$n_p = \frac{4D}{\pi A} + m_1$$

$$n_q = 0$$

$$n_p = \frac{4D}{\pi A} + (m_1 - m_2)f\left(\frac{\delta_1}{A}\right) + m_2$$

$$n_q = 0$$



6. General piecewise-linear odd memoryless nonlinearity

or, in a form valid for all A ,
 $(\delta_{n+1} > A > \delta_n)$

See Sec. 2.3

$$n_p = \frac{4D}{\pi A} + \sum_{i=1}^n (m_i - m_{i+1})f\left(\frac{\delta_i}{A}\right) + m_{n+1}$$

$$n_q = 0$$

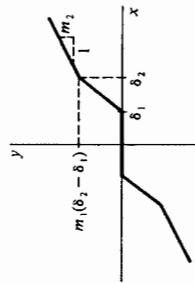
TABLE OF SINUSOIDAL-INPUT DESCRIBING FUNCTIONS (DFs) (Continued)

Nonlinearity	Comments	$n_p(A, \omega)$ and $n_q(A, \omega)$
 7. Saturation or limiter	$y = m f\left(\frac{\delta}{A}\right)$ $n_q = 0$	See Fig. B.2 and Sec. 2.3
 8. Dead zone or threshold	$n_p = m \left[1 - f\left(\frac{\delta}{A}\right) \right]$ $n_q = 0$	See Fig. B.2 and Sec. 2.3
 9. Gain-changing nonlinearity	$n_p = (m_1 - m_2)f\left(\frac{\delta}{A}\right) + m_2$ $n_q = 0$	See Sec. 2.3

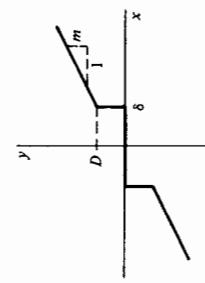


10. Limiter with dead zone

See Sec. 2.3

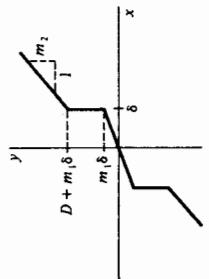
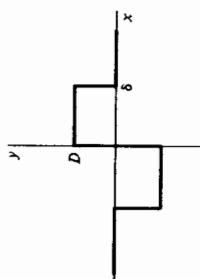


11. Gain-changing nonlinearity
with dead zone



12.

TABLE OF SINUSOIDAL-INPUT DESCRIBING FUNCTIONS (DFs) (Continued)

Nonlinearity	Comments	$n_p(A, \omega)$ and $n_q(A, \omega)$
13.	 <p>$A < \delta$</p> <p>$A > \delta$</p> <p>$n_p = m_1$ $n_q = 0$</p> <p>$n_p = (m_1 - m_2)f\left(\frac{\delta}{A}\right) + m_2 + \frac{4D}{\pi A} \sqrt{1 - \left(\frac{\delta}{A}\right)^2}$ $n_q = 0$</p>	
14.	 <p>$A < \delta$</p> <p>$A > \delta$</p> <p>$n_p = \frac{4D}{\pi A}$ $n_q = 0$</p> <p>$n_p = \frac{4D}{\pi A} \left[1 - \sqrt{1 - \left(\frac{\delta}{A}\right)^2} \right]$ $n_q = 0$</p>	
15.	 <p>$y = c$</p> <p>$n_p = 0$ $n_q = 0$</p>	
16. Linear gain	 <p>$y = x$</p> <p>$n_p = 1$ $n_q = 0$</p>	

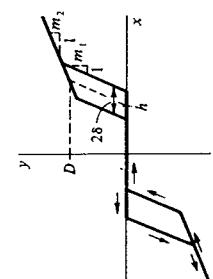
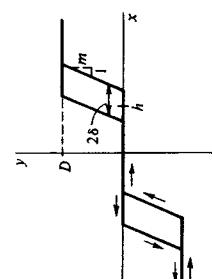
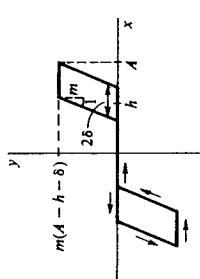
	$y = x x $	$n_p = \frac{8}{3\pi} A$ $n_q = 0$
17. Odd square law	See Fig. B.3	$n_p = \frac{3}{4} A^3$ $n_q = 0$
18. Cubic characteristic	See Fig. B.3	$n_p = \frac{32}{15\pi} A^3$ $n_q = 0$
19. Odd quartic characteristic	$y = x^3 x $	$n_p = \frac{5}{8} A^4$ $n_q = 0$
20. Quintic characteristic	$y = x^5 x $	$n_p = \frac{64}{35\pi} A^5$ $n_q = 0$
21.		$n_p = \frac{35}{64} A^6$ $n_q = 0$
22.	$y = x^7$	

TABLE OF SINUSOIDAL-INPUT DESCRIBING FUNCTIONS (DFs) (Continued)

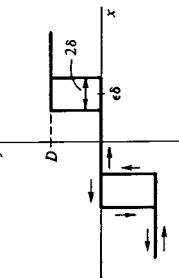
Nonlinearity	Comments	$n_p(A, \omega)$ and $n_q(A, \omega)$
23. $y = x^7 x $		$n_p = \frac{512}{315\pi} A^7$ $n_q = 0$
24. $y = x^n$	$n = 3, 5, 7, \dots$ See Fig. B.3 and Sec. 2.3	$n_p = \frac{n(n-2)(n-4)\cdots(3)}{(n+1)(n-1)(n-3)\cdots(4)} A^{n-1}$ $n_q = 0$
25. $y = x^{n-1} x $	$n = 2, 4, 6, \dots$ See Fig. B.3 and Sec. 2.3	$n_p = \frac{4}{\pi} \frac{n(n-2)(n-4)\cdots(2)}{(n+1)(n-1)(n-3)\cdots(3)} A^{n-1}$ $n_q = 0$
26. Odd square root $y = \sqrt{x} \quad (x \geq 0)$ $= -\sqrt{-x} \quad (x < 0)$	See Fig. B.3	$n_p = 1.11 A^{-1/2}$ $n_q = 0$
27. Cube root characteristic $y = x^{1/3}$		$n_p = 1.16 A^{-2/3}$ $n_q = 0$
28. $y = x^b \quad (x \geq 0)$ $= -(-x)^b \quad (x < 0)$	$b > -2$ $\Gamma(\arg.)$ is the gamma function. See Sec. 2.3	$n_p = \frac{2}{\sqrt{\pi}} \frac{\Gamma\left(\frac{b+2}{2}\right)}{\Gamma\left(\frac{b+3}{2}\right)} A^{b-1}$ $n_q = 0$

$y = M \sin mx$	$J_1(mA)$ is the Bessel function of order 1 for real arguments. See Fig. B.4 and Sec. 2.3	$n_p = 2M \frac{J_1(mA)}{A}$ $n_q = 0$
29. Harmonic nonlinearity	$I_1(mA)$ is the modified Bessel function of order 1.	$n_p = 2M \frac{I_1(mA)}{A}$ $n_q = 0$
30.		
	$I_1(cA)$ is the modified Bessel function of order 1 and $S_1(cA)$ is the modified Struve function of order 1. See Ref. 25 of Chap. 2	$n_p = \frac{2}{A} [I_1(cA) - S_1(cA)]$ $n_q = 0$
$y = 1 - e^{-cx}$ $\quad\quad\quad = -(1 - e^{cx})$	$(x \geq 0)$ $(x < 0)$	
31. Exponential saturation	$K(k)$ and $E(k)$ are the elliptic integrals of first and second kind, respectively.	$n_p = \frac{4}{\pi c A^2} \left[-\frac{1}{\sqrt{1+(cA)^2}} K\left(\frac{cA}{\sqrt{1+(cA)^2}}\right) + \sqrt{1+(cA)^2} E\left(\frac{cA}{\sqrt{1+(cA)^2}}\right) \right]$ $n_q = 0$
$y = \frac{cx}{\sqrt{1+(cx)^2}}$		
32. Algebraic saturation	This phenomenon is linear, hence the DF is exactly the transfer function $\exp(-j\omega T_d)$.	$n_p = \cos \omega T_d$ $n_q = -\sin \omega T_d$
33. Time delay		

TABLE OF SINUSOIDAL-INPUT DESCRIBING FUNCTIONS (DFs) (Continued)

Nonlinearity	Comments	$n_p(A, \omega)$ and $n_q(A, \omega)$
 34.	$m_1 > m_2$ $A \geq h + \frac{D}{m_1} + \frac{\delta m_1}{m_1 - m_2}$	$n_p = m_2 - \frac{m_1}{2} \left[f\left(\frac{h+\delta}{A}\right) + f\left(\frac{h-\delta}{A}\right) \right]$ $+ \left(\frac{m_1 - m_2}{2} \right) \left[f\left(\frac{h+D/m_1 + \delta m_1/(m_1 - m_2)}{A}\right) \right.$ $\left. + f\left(\frac{h+D/m_1 - \delta m_1/(m_1 - m_2)}{A}\right) \right]$ $n_q = -\frac{4D\delta}{\pi A^2}$
 35.	$A > h + \frac{D}{m} + \delta$	$n_p = \frac{m}{2} \left[-f\left(\frac{h+\delta}{A}\right) + f\left(\frac{h-\delta+D/m}{A}\right) + f\left(\frac{h-\delta+D/m}{A}\right) - f\left(\frac{h-\delta}{A}\right) \right]$ $n_q = -\frac{4D\delta}{\pi A^2}$
 36.	$A > h + \delta$	$n_p = \frac{m}{2} \left[1 + f\left(1 - \frac{2\delta}{A}\right) - f\left(\frac{h+\delta}{A}\right) - f\left(\frac{h-\delta}{A}\right) \right]$ $n_q = -\frac{4\delta m}{\pi A^2} (A - h - \delta)$

$$A < \delta(1 + \epsilon)$$



37. (positive) Hysteresis

$$A > \delta(1 + \epsilon)$$

$$\delta(\epsilon - 1) < A < \delta(\epsilon + 1)$$

See Fig. B.5 and Sec. 2.3

$$n_p = 0$$

$$n_q = 0$$

$$n_p = \frac{2D}{\pi A} \left[\sqrt{1 - \left(\frac{\delta}{A} \right)^2} (1 - \epsilon)^2 + \sqrt{1 - \left(\frac{\delta}{A} \right)^2} (1 + \epsilon)^2 \right]$$

$$n_q = -\frac{4D\delta}{\pi A^3}$$

$$A < \delta(\epsilon - 1)$$

$$n_p = 0$$

$$n_q = 0$$

$$\delta(\epsilon - 1) < A < \delta(\epsilon + 1)$$

$$n_p = \frac{4D}{\pi A} \sqrt{1 - \left(\frac{\delta}{A} \right)^2} (1 - \epsilon)^2$$

$$n_q = 0$$

$$A > \delta(\epsilon + 1)$$

$$n_p = \frac{2D}{\pi A} \left[\sqrt{1 - \left(\frac{\delta}{A} \right)^2} (1 - \epsilon)^2 + \sqrt{1 - \left(\frac{\delta}{A} \right)^2} (1 + \epsilon)^2 \right]$$

$$n_q = \frac{4D\delta}{\pi A^3}$$

$$A > \delta$$

$$n_p = \frac{2D}{\pi A} \sqrt{1 - \left(1 - \frac{2\delta}{A} \right)^2}$$

$$n_q = -\frac{4D\delta}{\pi A^3}$$

38. (negative) Hysteresis

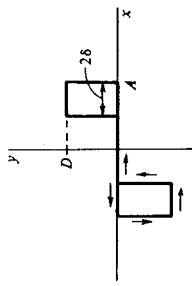
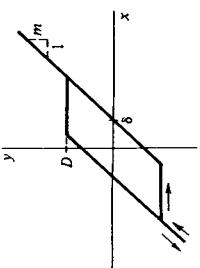
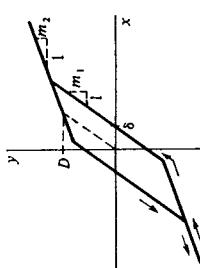
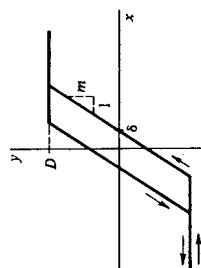
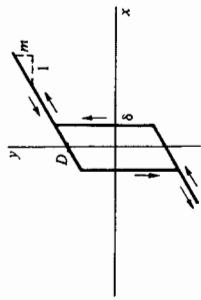


TABLE OF SINUSOIDAL-INPUT DESCRIBING FUNCTIONS (DFS) (Continued)

Nonlinearity	Comments	$n_p(A, \omega)$ and $n_q(A, \omega)$
40.	 $A > \delta + \frac{D}{m}$	$n_p = \frac{m}{2} \left[2 - f\left(\frac{D}{m} + \frac{\delta}{A}\right) + f\left(\frac{D}{m} - \frac{\delta}{A}\right) \right]$ $n_q = -\frac{4D\delta}{\pi A^2}$
41.	 $m_1 > m_2$ $A > \frac{D}{m_1} + \frac{\delta m_1}{m_1 - m_2}$	$n_p = \frac{m_1 - m_2}{2} \left[f\left(\frac{D}{m_1} + \frac{m_1 - m_2}{A}\right) + f\left(\frac{D}{m_1} - \frac{m_1 - m_2}{A}\right) \right] + m_2$ $n_q = -\frac{4D\delta}{\pi A^2}$
42.	 $A > \frac{D}{m} + \delta$	$n_p = \frac{m}{2} \left[f\left(\frac{D}{m} + \frac{\delta}{A}\right) + f\left(\frac{D}{m} - \frac{\delta}{A}\right) \right]$ $n_q = -\frac{4D\delta}{\pi A^2}$

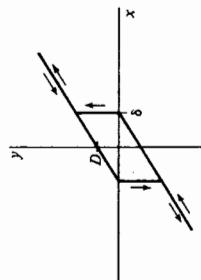


43.

See Sec. 2.5

$$n_p = \frac{4D}{\pi A} \sqrt{1 - \left(\frac{\delta}{A}\right)^2} + m$$

$$n_q = -\frac{4D\delta}{\pi A^2}$$

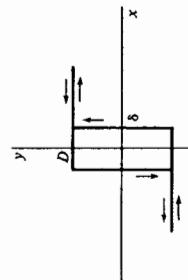


44. Negative deficiency

$$n_p = \frac{4D}{\pi A} \sqrt{1 - \left(\frac{\delta}{A}\right)^2} + \frac{D}{\delta}$$

$$n_q = -\frac{4D\delta}{\pi A^2}$$

See Fig. B.6



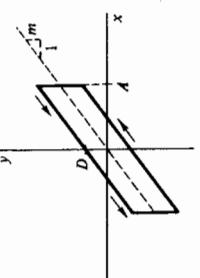
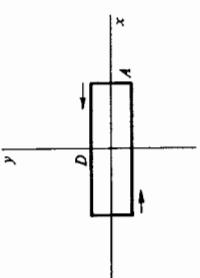
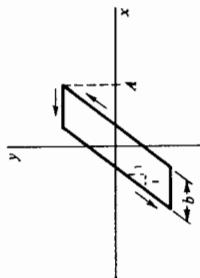
45. Rectangular hysteresis or toggle

$$n_p = \frac{4D}{\pi A} \sqrt{1 - \left(\frac{\delta}{A}\right)^2}$$

$$n_q = -\frac{4D\delta}{\pi A^2}$$

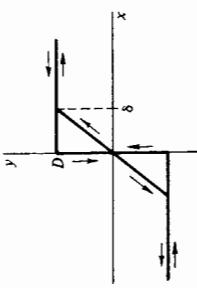
See Fig. B.6 and Sec. 2.3

TABLE OF SINUSOIDAL-INPUT DESCRIBING FUNCTIONS (DF_S) (Continued)

Nonlinearity	Comments	$n_p(A, \omega)$ and $n_q(A, \omega)$
46.	 <p>A graph showing a hysteresis loop in the $x-y$ plane. A dashed line represents the input path, and a solid line represents the output path. The output path has a constant slope m between two points labeled 1 and 2. A point D is marked on the input path. The area enclosed by the hysteresis loop is shaded.</p>	$n_p = m$ $n_q = -\frac{4D}{\pi A}$
47.	 <p>A graph showing a backlash characteristic in the $x-y$ plane. The input path is a rectangle of width A and height D. The output path is a sawtooth wave that increases linearly from $y=D$ to $y=0$ over the interval $[x=0, x=A]$, and then drops back to $y=D$.</p>	$n_p = 0$ $n_q = -\frac{4D}{\pi A}$
48.	 <p>A graph showing a friction-controlled backlash characteristic in the $x-y$ plane. The input path is a trapezoid of width b and height D. The output path is a sawtooth wave that increases linearly from $y=D$ to $y=0$ over the interval $[x=0, x=b]$, and then drops back to $y=D$.</p>	$n_p = \frac{1}{2} \left[1 + f \left(1 - \frac{b}{A} \right) \right]$ $n_q = -\frac{1}{\pi} \left[2 \frac{b}{A} - \left(\frac{b}{A} \right)^2 \right]$

48. Friction-controlled backlash

See Fig. B.7 and Sec. 2.3

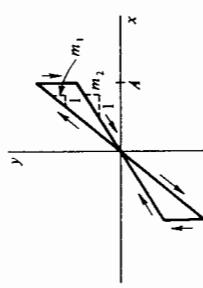


49.

$$n_p = \frac{D}{2\delta} f\left(\frac{\delta}{A}\right) + \frac{2D}{\pi A}$$

$$n_q = 0$$

$A > \delta$
Multivalued nonlinearity for
which $n_q(A, \omega) = 0$.

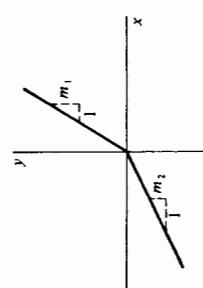


50.

$$n_p = \frac{m_1 + m_2}{2}$$

$$n_q = \frac{m_1 - m_2}{\pi}$$

Multivalued nonlinearity for
which the DF is
independent of A .



51.

$$n_p = \frac{m_1 + m_2}{2}$$

$$n_q = 0$$

Asymmetric characteristic
equivalent to the parallel
combination of a linear
gain $(m_1 + m_2)/2$ and
an absolute value char-
acteristic $(m_1 - m_2)/2$.
The even part does not
contribute to the DF.

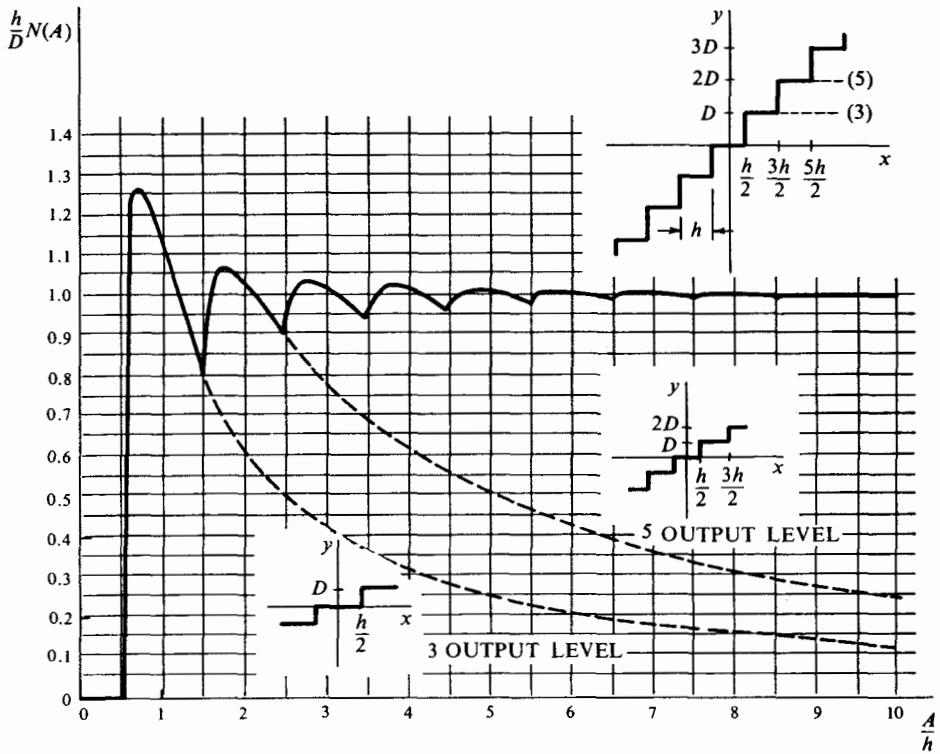


Figure B.1 Quantizer DF.

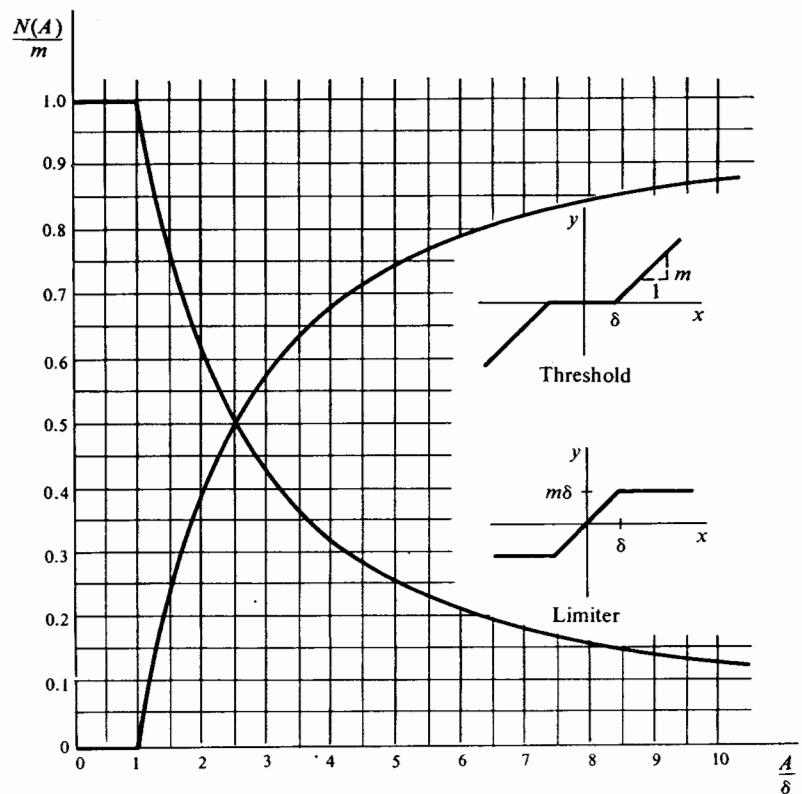


Figure B.2 DFs for limiter and threshold characteristics.

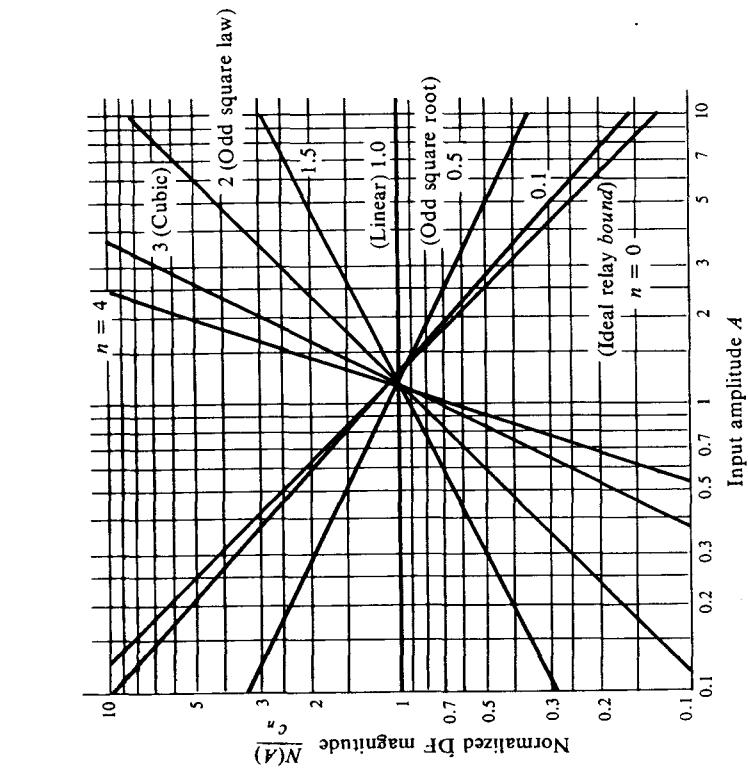


Figure B.3 DF for the simple polynomial nonlinearity $y = c_n x^n$ (n odd)
or $y = c_n x^{n-1} |x|$ (n even).

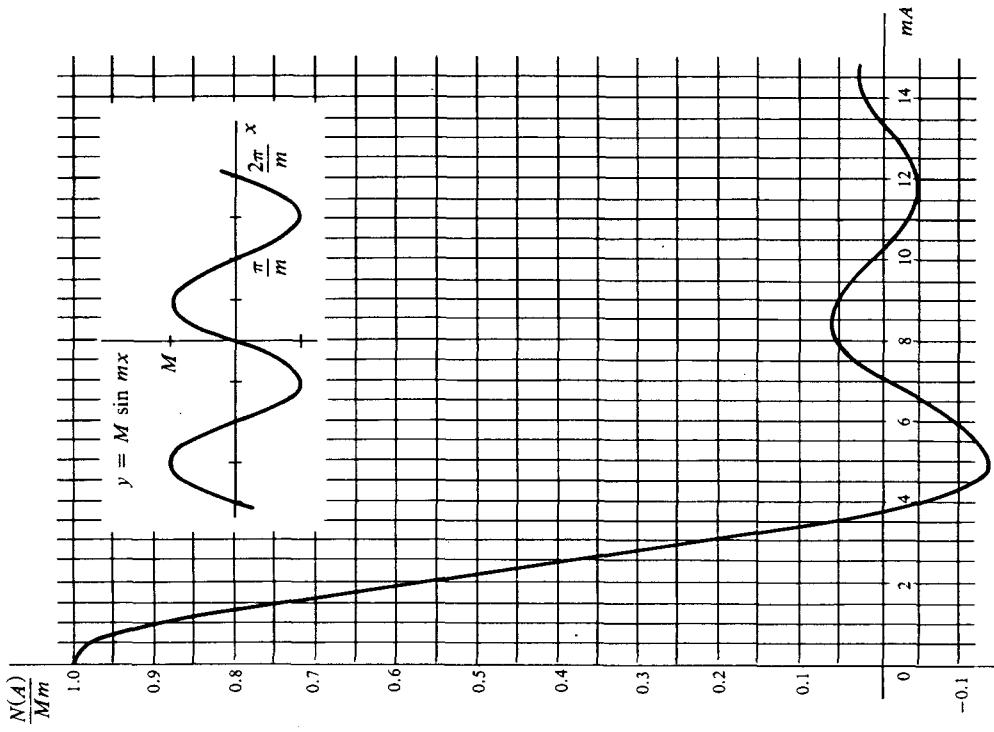


Figure B.4 Normalized harmonic nonlinearity DF.

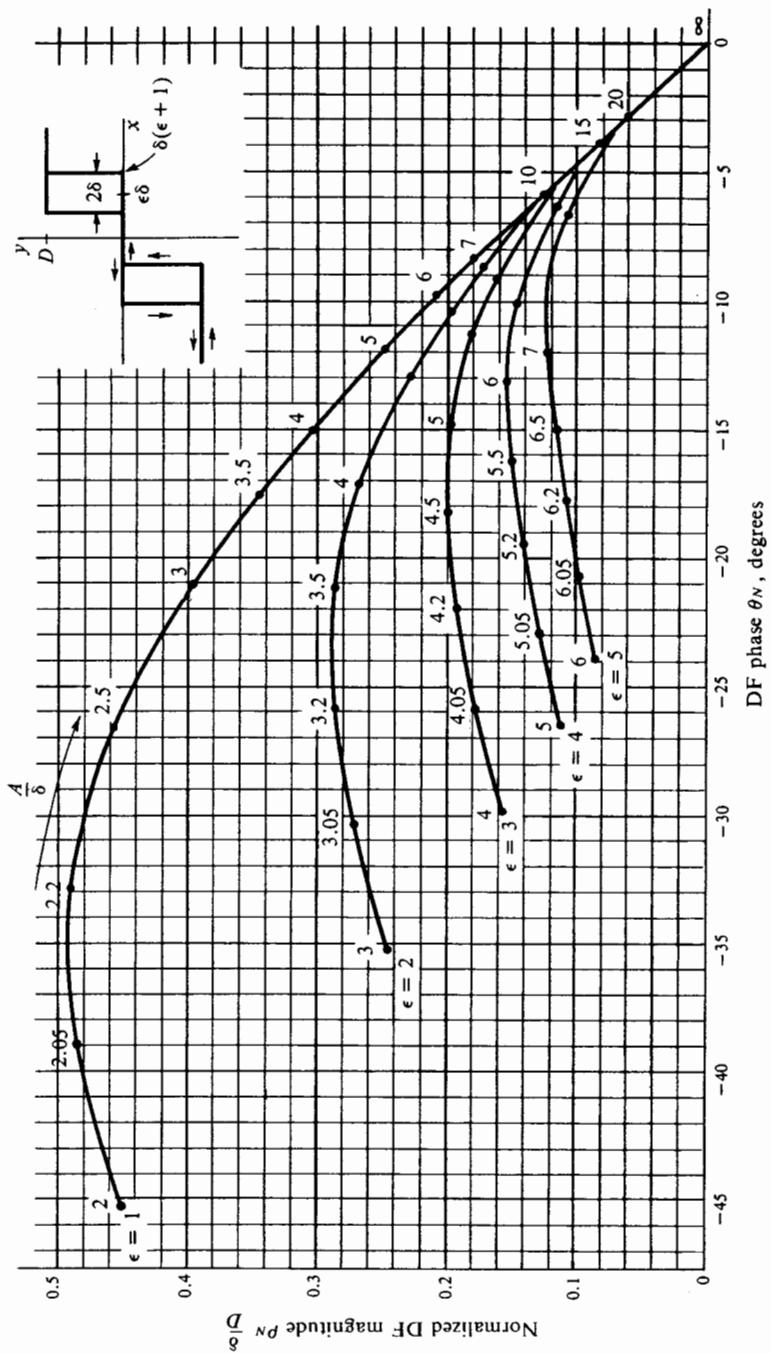


Figure B.5 DF magnitude vs. phase for hysteresis characteristics.

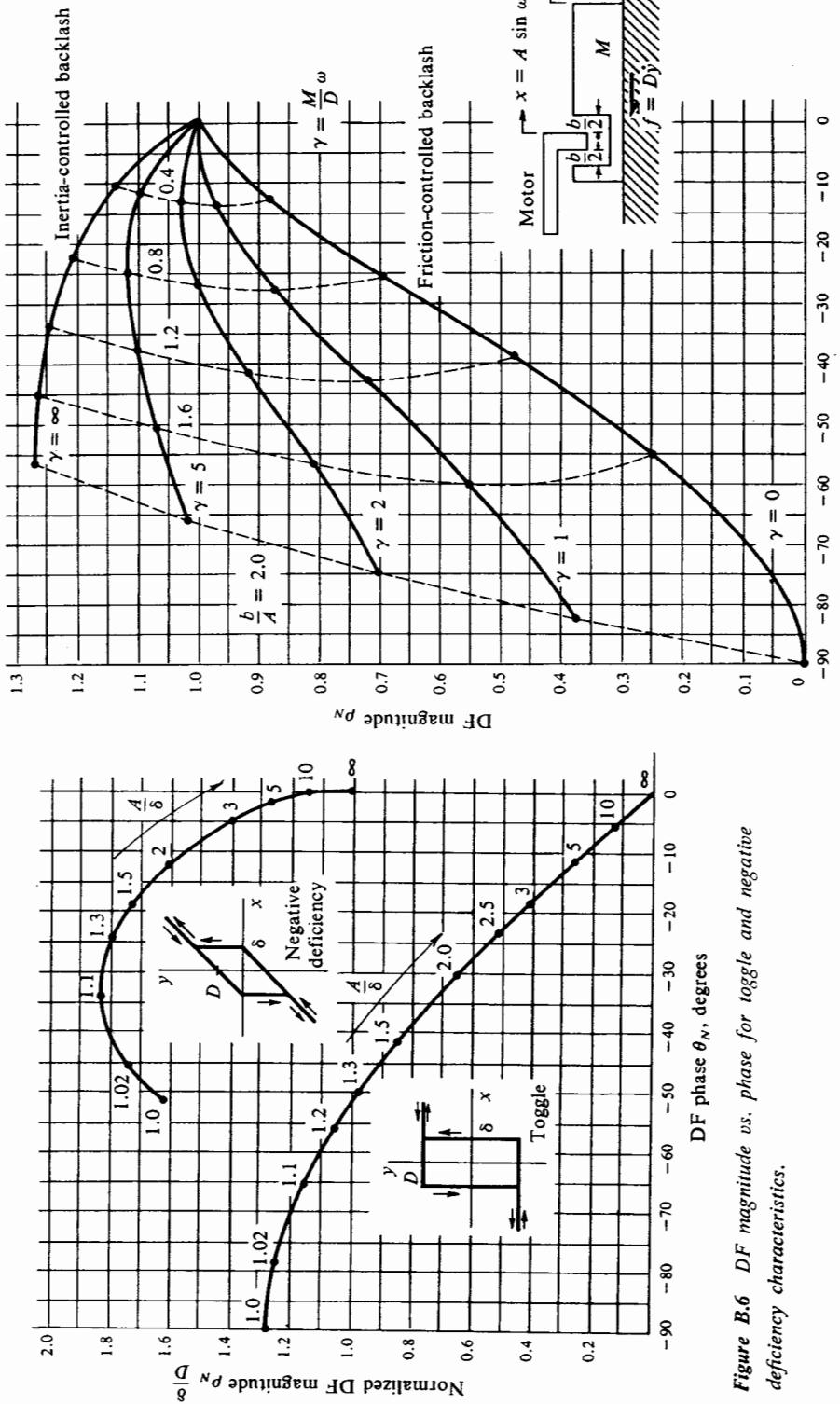


Figure B.6 DF magnitude vs. phase for toggle and negative deficiency characteristics.

