

## prob set #5 Solutions

## 1. Fluctuation origin of U tensor

$$I_0 = \int_{-\infty}^{\infty} d^3 k \delta(\underline{k} \cdot (\underline{v} - \underline{v}')) \frac{\underline{k} \cdot \underline{k}}{k^4 |\epsilon(\underline{k}, \underline{k} \cdot \underline{v})|^2}$$

Replace the dielectric constant by a cutoff rule

$$I_0 = \int_{-\infty}^{\infty} dk_{\parallel} \int_{k_{\min}}^{k_{\max}} dk_{\perp} k_{\perp} \int_0^{2\pi} d\phi \frac{\delta(k_{\parallel} |\underline{v} - \underline{v}'|)}{(k_{\perp}^2 + k_{\parallel}^2)^2} (k_{\parallel} + k_{\perp})(k_{\parallel} + k_{\perp})$$

$\parallel$  — parallel to  $\underline{v} - \underline{v}'$ ,  $\perp$  — perpendicular to  $\underline{v} - \underline{v}'$

First carry out the  $k_{\parallel}$  integration

$$I_0 = \int_{k_{\min}}^{k_{\max}} dk_{\parallel} k_{\parallel} \int_0^{2\pi} d\phi \frac{1}{|\underline{v} - \underline{v}'|} \frac{\underline{k}_{\perp} \cdot \underline{k}_{\perp}}{k_{\perp}^4}$$

$$\underline{k}_{\perp} = k_{\perp} \cos \phi \underline{e}_x + k_{\perp} \sin \phi \underline{e}_y$$

$$\underline{k}_{\perp} \cdot \underline{k}_{\perp} = k_{\perp}^2 \cos^2 \phi \underline{e}_x \cdot \underline{e}_x + k_{\perp}^2 \sin^2 \phi \underline{e}_y \cdot \underline{e}_y + k_{\perp}^2 \sin \phi \cos \phi (\underline{e}_x \cdot \underline{e}_y + \underline{e}_y \cdot \underline{e}_x)$$

Then carry out the  $\phi$  integration

$$I_0 = \pi \int_{k_{\min}}^{k_{\max}} dk_{\parallel} k_{\parallel} \frac{1}{k_{\perp}^2} (\underline{e}_x \cdot \underline{e}_x + \underline{e}_y \cdot \underline{e}_y) \frac{1}{|\underline{v} - \underline{v}'|}$$

$$e_x e_x + e_y e_y = \frac{1}{\varepsilon} - \frac{(v-v')(v-v')}{|v-v'|^2} = \frac{v(v-v')}{|v-v'|}$$

$$\text{So } \frac{I_0}{I} = \pi \frac{U}{\varepsilon} \int_{k_{\min}}^{k_{\max}} \frac{dk}{k}$$

$$= \pi \frac{U}{\varepsilon} \ln \frac{k_{\max}}{k_{\min}}$$

$$\text{where } k_{\max} = \frac{eT}{e^2}, \quad k_{\min} = \frac{1}{\lambda_{pe}}$$

$$\Rightarrow \frac{k_{\max}}{k_{\min}} = \frac{\lambda_{pe}}{e^2/T} = \frac{\lambda_{pe} n e V_{Te}^2}{n e^2 m_e \frac{V_{Te}^2}{T}} = 4 \pi \frac{\lambda_{pe} V_{Te}^2}{\omega_{pe}^2} n e = 4 \pi n e \lambda_{pe}^3$$

=  $\Lambda$

$$\text{Therefore } \frac{I_0}{I} = \pi \frac{U}{\varepsilon} \ln \Lambda$$

## 2. Diffusion from plasma waves:

From class discussion

$$D = \pi \frac{e^2}{m_e^2} \sum_{\mathbf{k}\omega} \mathbf{k} \cdot \mathbf{k} \langle |\delta \phi_{\mathbf{k}, \omega}|^2 \rangle \delta(\omega - \mathbf{k} \cdot \mathbf{v})$$

$$1-D \text{ case. } \langle |\delta \phi_{\mathbf{k}, \omega}|^2 \rangle = \frac{\phi_0^2}{\Delta k} \delta(\omega - \omega_{pe})$$

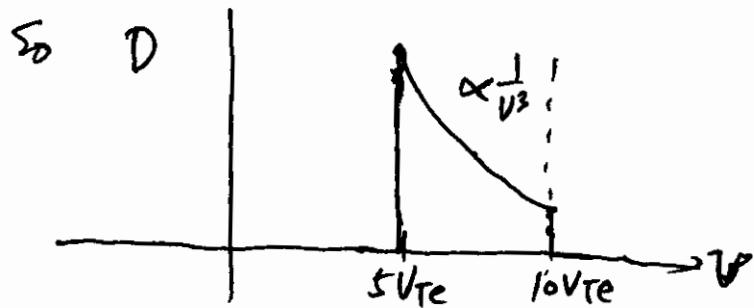
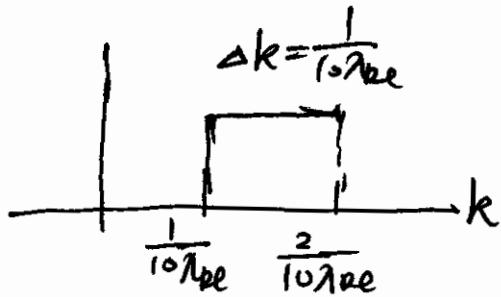
$$D = \pi \frac{e^2}{m_e^2} \int dk \int d\omega \frac{\phi_0^2 k^2}{\Delta k} \delta(\omega - \omega_{pe}) \delta(\omega - kv)$$

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$$= \pi \frac{e^2}{m_e^2} \frac{k^2 \phi_0^2}{\Delta k} \int dk k^2 \delta(\omega_{pe} - kv)$$

$$= \pi \frac{e^2}{m_e^2} \frac{\phi_0^2}{\Delta k} \frac{\omega_{pe}^2}{v^3}$$

$$= \pi \frac{e^2}{m_e^2} \frac{\phi_0^2 \omega_{pe}^2}{v^3} 10\lambda_{de}$$

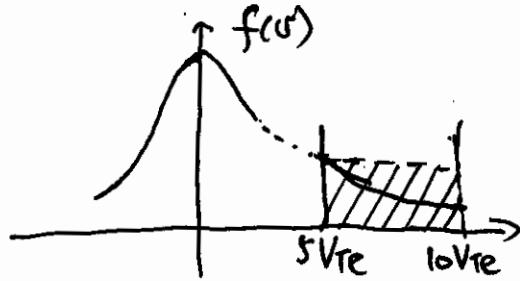


Because  $v = \frac{\omega_{pe}}{k}$ , So v start from  $5V_{Te}$  to  $10V_{Te}$

Let  $D = V_{Te}^2 \nu_e = V_{Te}^2$

Physical effect: flatten the tail of distribution function  $f(v)$

( $5V_{Te} \rightarrow 10V_{Te}$ ), heat up the high energy particles, drive a



Current.

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On the other hand

$$D = V_{Te}^2 \lambda_{ci} = V_{Te}^2 \frac{4\pi n e e^4}{m_e^2 V^3} \ln \Lambda = \frac{V_{Te}^2}{\cancel{\pi}} \frac{a_{pe}^2}{\cancel{m_e} V^3} \frac{e^2}{m_e} \ln \Lambda$$

i.e.

$$\pi \frac{e^2}{m_e^2} \frac{\phi_0^2 w_{pe}^2}{V^3} \ln \lambda_{pe} = \frac{V_{Te}^2}{\cancel{\pi}} \frac{a_{pe}^2}{\cancel{m_e} V^3} \frac{e^2}{m_e} \ln \Lambda$$

$$\Rightarrow e\phi_0^2 = \frac{1}{10\pi} \frac{m_e V_{Te} e^2}{\lambda_{pe}} \ln \Lambda$$

$$= \frac{T_e^2}{10\pi} \frac{1}{\lambda_{pe}} \frac{e^2}{T_e} \ln \Lambda$$

$$= \frac{T_e^2}{10\pi} \frac{1}{\Lambda} \ln \Lambda$$

$$\text{So } e\phi_0 = \frac{T_e}{\sqrt{10\pi}} \frac{1}{\sqrt{\Lambda}} \sqrt{\ln \Lambda}$$

we can see,  $e\phi_0$  is much smaller than  $T_e$ , since  $\Lambda$  is usually a big number.  $\Lambda \sim 10^6 \sim 10^8$ ,  $\log \Lambda \sim 16-18$ .

Take the parameter  $\alpha$  of a typical tokamak.

$$T_e = 10 \text{ keV}, \quad \Lambda \sim 10^{16}, \text{ then } \ln \Lambda \sim 16$$

$$\phi_0 = \cancel{10^{-16}} \text{ (Volt)} \\ 4.$$

### 3. Correlation time

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Estimate the mean square frequency width

$$\langle \omega^2 \rangle = \frac{\sum_{\underline{k}, \omega} \omega^2 \langle |\delta f_{\underline{k}\omega}|^2 \rangle}{\sum_{\underline{k}\omega} \langle |\delta f_{\underline{k}\omega}|^2 \rangle}$$

where the discreteness fluctuations is

$$\langle |\delta f_{\underline{k}\omega}|^2 \rangle = \frac{2e^2}{\pi} \int d^3v' f(v') \delta(\omega - \underline{k} \cdot v') \frac{1}{k^4 |\epsilon(\underline{k}, \omega)|^2}$$

for Simplicity. Assume

$$\epsilon(\underline{k}, \omega) \approx 1 + \frac{1}{k^2 \lambda_{pe}^2}$$

First Calculate denominator:

$$\begin{aligned} & \sum_{\underline{k}, \omega} \langle |\delta f_{\underline{k}\omega}|^2 \rangle \\ &= \int d^3k d\omega \frac{2e^2}{\pi} \int d^3v' f(v') \delta(\omega - \underline{k} \cdot v') \frac{1}{k^4 |\epsilon(\underline{k}, \omega)|^2} \\ &= \frac{2e^2}{\pi} \int d^3k \int d^3v' \frac{f(v')}{k^4 \left(1 + \frac{1}{k^2 \lambda_{pe}^2}\right)^2} \\ &= \frac{2e^2 n_e}{\pi} \int d^3k \frac{1}{k^4 \left(1 + \frac{1}{k^2 \lambda_{pe}^2}\right)^2} \end{aligned}$$

Apply the a cutoff rule.  $k_{\min} < k < k_{\max}$

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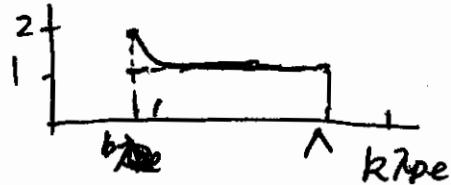
$$\text{where } k_{\max} = \frac{T}{e^2}, \quad k_{\min} = \frac{1}{n_{pe}}$$

$$\sum_{k,\omega} <|8f_{k\omega}|^2>$$

$$\begin{aligned} &\simeq \frac{2e^2 n_e}{\pi} 4\pi \int_{k_{\min}}^{k_{\max}} dk \frac{1}{k^2} \\ &\simeq \frac{2e^2 n_e}{\pi} 4\pi \frac{1}{k_{\min}} \end{aligned}$$

$$\text{Note } 1 < k_{pe} < k_{\max} n_{pe} = 1$$

$$\text{So } 1 + \frac{1}{k^2 n_{pe}^2} \text{ behaves like}$$



Then we calculate

$$\begin{aligned} &\sum_{k,\omega} <|8f_{k\omega}|^2> \omega^2 \\ &= \int d^3k d\omega \frac{2e^2}{\pi} \int d^3v' f(v') \frac{8(\omega - \underline{k} \cdot \underline{v}') \omega^2}{k^4 | \epsilon(k, \omega) |^2} \\ &= \int d^3k \frac{2e^2}{\pi} 4\pi \int dk \frac{1}{k^2 (1 + \frac{1}{k^2 n_{pe}^2})} \underbrace{\int d^3v' \frac{f(v')(k \cdot v')^2}{\omega^2}}_{\sim k^2 V_{Te}^2 n_e} \end{aligned}$$

$$\simeq \frac{2e^2}{\pi} 4\pi V_{Te}^2 n_e \int_{k_{\min}}^{k_{\max}} dk$$

$$\simeq \frac{2e^2}{\pi} 4\pi V_{Te}^2 k_{\max} n_e$$

$$\text{Therefore } \langle \omega^2 \rangle = V_{Te}^2 k_{\max} k_{\min} = V_{Te}^2 k_{\min}^2 \frac{k_{\max}}{k_{\min}}$$

$$= \frac{V_{Te}^2}{n_{pe}^2} \Lambda = \omega_{pe}^2 \Lambda$$

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So  $\tau_c \sim \frac{1}{\sqrt{\lambda}} \frac{1}{\omega_{pe}}$ , so the correlation time is very short.

If we only consider  $\lambda \approx \lambda_{max} = \lambda_{De}$ ,  $\tau_c = \frac{\lambda_{De}}{v_{Te}} = \frac{1}{\omega_{pe}}$

If  $\lambda \sim \lambda_{min} = \frac{\lambda_{De}}{\lambda}$ ,  $\tau_c \sim \frac{1}{\omega_{pe}\sqrt{\lambda}}$ .

If the wavelength spectrum is flat far from  $\lambda_{De}$  to  $\lambda_{De}$ ,

we obtain the geometric mean of these two limits

The collisional effects become important within a Debye length

④ These collisions significantly short the correlation time ( $\frac{1}{\lambda}$ )

4. Solution:

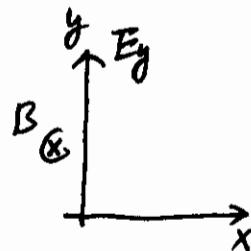
For a slab geometry plasma,

For when  $\omega \ll \omega_i$ , we consider guiding center fluctuations

$$\delta\phi(x, t) = \sum_{k_y k_z \omega} \delta\phi_{k\omega} e^{ik_y y + ik_z z - i\omega t}$$

$E \times B$  drift

$$\delta v_x(t) = \frac{C}{B} \delta E_y = \frac{C}{B} \sum_{k_y, k_z, \omega} -ik_y \delta\phi_{k\omega} \exp(ik_y y(t) + ik_z z(t) - i\omega t)$$



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~~Expt~~ Then

$$\langle \delta x \delta x \rangle = \int_0^t dt' \delta v_x(t') \int_0^t dt'' \delta v_x(t'')$$

$$= \frac{c^2}{B^2} \int_0^t dt' \int_0^t dt'' \sum_{ky k_z \omega} \sum_{ky' k_z' \omega'} (-k_y^2) \langle \delta f_{ky\omega} \delta f_{ky'\omega'} \rangle$$

$$e^{ik_y y(t') + ik_z z(t') - i\omega t'} \times e^{ik_y' y(t'') + ik_z' z(t'') - i\omega' t''}$$

$$\Rightarrow \text{But } \langle \delta f_{ky\omega} \delta f_{ky'\omega'} \rangle = \langle |\delta f_{ky\omega}|^2 \rangle \delta(k+k') \delta(\omega+\omega')$$

Therefore

$$\begin{aligned} \langle \delta x \delta x \rangle &= \frac{c^2}{B^2} \int_0^t dt' \int_0^t dt'' \sum_{ky k_z \omega} k_y^2 \langle |\delta f_{ky\omega}|^2 \rangle e^{ik_y(y(t') - y(t''))} \\ &\quad \times e^{ik_z(z(t') - z(t''))} \times e^{-i\omega(t'-t'')} \end{aligned}$$

For unperturbed ~~coordinate~~ orbit :

$$\begin{cases} y(t) = y_0 \\ z(t) = z_0 + v_z t \end{cases}$$

$$\begin{aligned} \text{So } \langle \delta x \delta x \rangle &= \frac{c^2}{B^2} \int_0^t dt' \int_{-t'}^{t-t'} d\tau \sum_{ky k_z \omega} k_y^2 \langle |\delta f_{ky\omega}|^2 \rangle e^{-ik_z v_z \tau + i\omega \tau} \\ &\quad (t \equiv t'' - t') \end{aligned}$$

$$= 2\pi t \sum_{ky k_z \omega} k_y^2 \langle |\delta f_{ky\omega}|^2 \rangle \delta(\omega - k_z v_z) \frac{c^2}{B^2}$$

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According definition

$$D_{xx} = \frac{1}{2} \left\langle \frac{\delta x \delta x}{dt} \right\rangle$$

$$= \pi \sum_{ky k_z \omega} k_y^2 \left\langle \left| \delta \phi_{kw} \right|^2 \right\rangle \delta(\omega - k_z v_z) \frac{c^2}{B^2}$$

Assume adiabatic response of electrons

$$\delta n_e = n \frac{e \delta \phi}{T} \delta \phi$$

$$\Rightarrow \delta \phi = \frac{I}{en} \delta \phi n_e \Rightarrow \delta \phi_{kw} = \frac{I}{en} \delta n_{kw}$$

Then

$$D_{xx} = \pi \left( \frac{CT}{eB} \right)^2 \sum_{ky k_z \omega} k_y^2 \left\langle \left| \frac{\delta n_{kw}}{n} \right|^2 \right\rangle \delta(\omega - k_z v_z)$$

Now make a quantitative estimate of

$$\left\langle \left| \frac{\delta n}{n} \right|^2 \right\rangle = \sum_{ky k_z \omega} \left\langle \left| \frac{\delta n_{kw}}{n} \right|^2 \right\rangle$$

Assume the drift wave spectrum has a frequency width

$$\Delta \omega \approx \omega_{xe} = k_y P_i V_i / L_n$$

$$\text{Then } D_{xx} = \pi \left( \frac{CT}{eB} \right)^2 \frac{k_y^2}{\omega_{xe}} \left\langle \left| \frac{\delta n}{n} \right|^2 \right\rangle$$

$$\Rightarrow \left\langle \left| \frac{\delta n}{n} \right|^2 \right\rangle = D_{xx} \frac{1}{\pi} \left( \frac{eB}{cT} \right)^2 \frac{a_{xx}}{k_y^2}$$

$$= D_{xx} \frac{1}{\pi} \left( \frac{\omega_{ci} p_i}{V_{Ti}^2} \right)^2 \frac{1}{k_y^2} k_b p_i \frac{V_{Ti}}{\ln \frac{V_{Ti}}{k_b T}}$$

$$= D_{xx} \frac{1}{\pi} \left( \frac{\omega_{ci} p_i}{V_{Ti}^2} \right)^2 \frac{1}{k_b p_i} \frac{V_{Ti}}{\ln \frac{V_{Ti}}{k_b T}}$$

$$\approx \frac{D_{xx}}{\pi} \frac{V_{Ti}}{\ln \frac{V_{Ti}}{k_b T}} \frac{1}{V_{Ti}^2}$$

$$\approx \frac{1}{\pi} \times 10^5 \times \frac{1}{(2 \times 10^5)^2}$$

$$= \cancel{7.96 \times 10^{-7}} \quad 7.96 \times 10^{-7}$$

$$\Rightarrow \frac{\delta n}{n} \sim \sqrt{\left\langle \left| \frac{\delta n}{n} \right|^2 \right\rangle} \sim 0.09\%$$

$k_b p_i \sim 1$   
 $\omega_{ci} p_i \sim V_{Ti}$